

# Generating Personalized Dialogue via Multi-Task Meta-Learning

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# Agenda

- o Personalized Dialogue Generation
  - PersonaChat Corpus
- o Meta-Learning
  - Model Agnostic Meta-Learning (MAML)
- o Multi-task Meta-Learning
  - Multi-Task Meta-Learning (MTML)
  - Alternating Multi-Task Meta-Learning (AMTML)
- o Implementation
- o Results & Discussion
- o Conclusion

# Personalized Dialogue Generation

- Personalized dialogue generation involves the generation of dialogue responses which incorporate the personality of the dialogue agent.
- Typically, generated response is conditioned on both the persona information and dialogue context (dialogue history).
  - Persona information could constitute textual persona descriptions or profile attributes
- In a real-world scenario, persona information is not readily available.
- Condition the generated response on past dialogue examples instead.
  - However, this requires a large amount of persona-specific dialogue examples.
- Task: Generate personalized dialogue with small amount of persona-specific dialogue examples i.e., *few-shot personalized dialogue generation*.
- Hence, we propose a multi-task meta-learning framework to address the task of few-shot personalized dialogue generation.

# PersonaChat Corpus

- In the PersonaChat Corpus (Zhang et al., 2018), each conversation consists of two interlocutors trying to find out more about each other.
- The PersonaChat corpus comprises 1,155 distinct personas, each consisting of several persona statements.
- The dialogue agent must generate fluent, coherent dialogue consistent with the corresponding persona description, which is defined by several persona statements.

## Persona Statements

I read twenty books a year.  
I'm a stunt double in my second job.  
I only eat kosher.  
I was raised in a single parent household.

## Response Label

I have a lot of books to read

## Dialogue Context

**Human:** Hello what are you doing today?

**Agent:** i am good , i just got off work and tired , i have two jobs.

**Human:** i just got done watching a horror movie.

**Agent:** i rather read , i've read about 20 books this year

**Human:** wow! i do love a good horror movie. loving this cooler weather

# Meta-Learning

- **Goal:** Train a model capable of rapid and efficient generalization to unseen tasks (few-shot learning).
  - Learning to learn
- Generally, meta-learning models are trained on many tasks and are then evaluated on their ability to adapt to new, unseen tasks.
- Types of meta-learning:
  - **Metric-based**
    - Involves learning a metric or distance function over tasks.
    - Eg. Convolutional Siamese Neural Network (Koch et al., 2015), Memory Augmented Neural Network (Santoro et al., 2016)
  - **Model-based**
    - Involves a specific model architecture.
    - Eg. Prototypical Networks (Snell et al., 2016), Matching Networks (Vinyals et al., 2017), Simple Neural Attentive Meta Learner (Mishra et al., 2018)
  - **Optimization-based**
    - Involves modifying the optimization algorithm.
    - Eg. Model Agnostic Meta Learning (Finn et al., 2017), Reptile (Nichol et al., 2018)

# Model-Agnostic Meta-Learning (MAML)

0 MAML aims to learn a parameter initialization capable of rapid and efficient adaptation.

0 Most popular meta-learning framework in recent years.

0 For each iteration  $i$ :

- Sample tasks from the training set  $\mathcal{D}_{train}$  to form a query set  $\mathcal{O}^i$  and a support set  $\mathcal{T}^i$ .

- Update parameters using the support set (inner loop):

$$\phi' = \phi - \eta_t \nabla_{\phi} L^{\mathcal{T}^i}(\phi)$$

- Parameters are trained by optimizing for model performance with respect to  $\phi$  across tasks in query set. This results in the following objective function:

$$\begin{aligned} \min_{\phi} \sum_{\mathcal{C}^i \sim \mathcal{D}_{train}} L^{\mathcal{O}^i}(\phi') \\ = \sum_{\mathcal{C}^i \sim \mathcal{D}_{train}} L^{\mathcal{O}^i}(\phi - \eta_t \nabla_{\phi} L^{\mathcal{T}^i}(\phi)) \end{aligned}$$

- Update parameters using the query set (outer loop):

$$\phi = \phi - \eta_o \nabla_{\phi} \sum_{\mathcal{O}^i} L^{\mathcal{O}^i}(\phi')$$

0 Persona Agnostic Meta Learning (PAML) (Madotto et al, 2019)

- Application of MAML to personalized dialogue generation.

- Each unique persona is regarded as a unique task, and model is trained on a distribution of personas.

# Multi-Task Meta-Learning

- 0 We introduce a persona reconstruction task, which would result in parameters which induces the persona from the dialogue context to a larger extent.
- 0 Persona reconstruction will be introduced via a persona reconstruction loss, which involves generating all corresponding persona statements in its entirety given the dialogue context.
- 0 Given parameters  $\phi$ , dialogue context  $x_{1:t-1}$  and persona statements  $\mathcal{P}_{1:N}$ , the loss functions are defined as follows:

## Response Generation Loss

$$f_{\phi}(x_t|x_{1:t-1}) = p(x_t|x_{1:t-1}; \phi)$$

$$L_{res}(\phi) = - \sum_{t=1}^T \log p(x_t|x_{1:t-1}; \phi)$$

## Persona Reconstruction Loss

$$\bar{\mathcal{P}} = \text{concat}(\mathcal{P}_{1:N})$$

$$f_{\phi}(\bar{\mathcal{P}}|x_{1:t-1}) = p(\bar{\mathcal{P}}|x_{1:t-1}; \phi)$$

$$L_{rec}(\phi) = - \sum_{t=1}^T \log p(\bar{\mathcal{P}}|x_{1:t-1}; \phi)$$

- 0 Persona reconstruction is introduced *only during meta-learning*.
- 0 We introduce two multi-task meta-learning frameworks:
  - Multi-Task Meta-Learning (MTML)
  - Alternating Multi-Task Meta-Learning (AMTML)

# Multi-Task Meta-Learning (MTML) Framework

- Combine the response generation loss and the persona reconstruction loss to form multi-task loss function.
- Hyperparameter  $\alpha$  determines the contribution of the persona reconstruction and response generation loss.
- For each iteration  $i$ :
  - Update parameters using the support set

$$L^{\mathcal{T}^i}(\phi) = \alpha L_{res}^{\mathcal{T}^i}(\phi) + (1 - \alpha)L_{rec}^{\mathcal{T}^i}(\phi)$$

$$\phi' = \phi - \eta_t \nabla_{\phi} L^{\mathcal{T}^i}(\phi)$$

- Update parameters using the query set

$$L^{\mathcal{O}^i}(\phi') = \alpha L_{res}^{\mathcal{O}^i}(\phi') + (1 - \alpha)L_{rec}^{\mathcal{O}^i}(\phi')$$

$$\phi = \phi - \eta_o \nabla_{\phi} \frac{1}{M} \sum_{\mathcal{O}^i} L^{\mathcal{O}^i}(\phi')$$

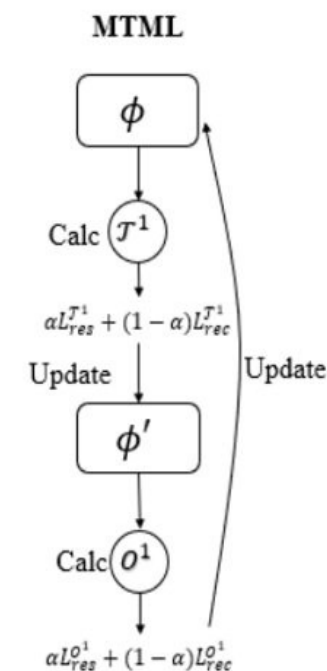


Fig 1: Overview of MTML

- During inference, dialogue examples are used to finetune the model via only the response generation loss. Persona statements are not required during inference.



# Alternating Multi-Task Meta-Learning (AMTML) Framework

○ Constantly alternate between response generation loss  $L_{res}$  and persona reconstruction loss  $L_{rec}$ .

○ At every iteration, the inner loop and outer loop utilize different loss functions.

○ For each iteration  $i$ :

- Update parameters using the support set with either the response generation loss and persona reconstruction loss

$$\phi' = \phi - \eta_t \nabla_{\phi} L_{rec}^{\mathcal{T}^i}(\phi) \quad \text{or} \quad \phi' = \phi - \eta_t \nabla_{\phi} L_{res}^{\mathcal{T}^i}(\phi)$$

- Update parameters using the query set with the alternate loss function

$$L^{\mathcal{O}^i}(\phi') = L_{res}^{\mathcal{O}^i}(\phi') \quad \text{or} \quad L^{\mathcal{O}^i}(\phi') = L_{rec}^{\mathcal{O}^i}(\phi')$$

$$\phi = \phi - \eta_o \nabla_{\phi} \frac{1}{M} \sum_{\mathcal{O}^i} L^{\mathcal{O}^i}(\phi')$$

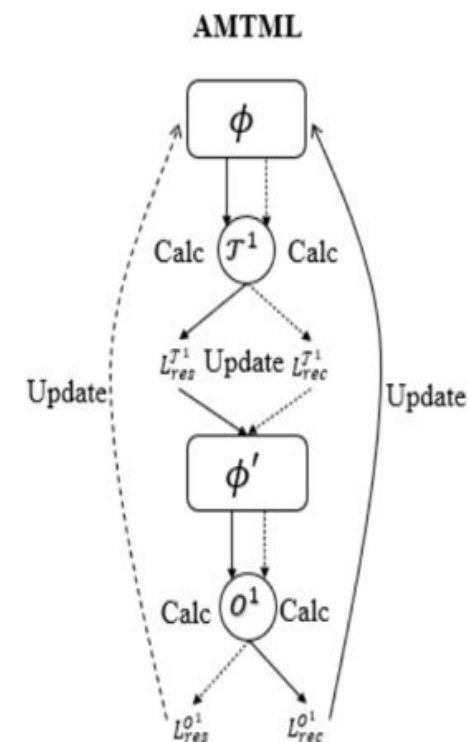


Fig 2: Overview of AMTML

○ During inference, dialogue examples are used to finetune the model via only the response generation loss. Persona statements are not required during inference.

# Experiment

- Corpus: PersonaChat
- Base Model: Transformer (6 encoder layers, 6 decoder layers and 4 attention heads) with Glove embedding
- Evaluation:
  - Human Evaluation: Consistency, Fluency, Coherence
  - Automatic Metrics: PPL, BLEU, C-score (Madotto et al., 2019)
    - C-score is derived via a BERT-based Natural Language Inference (NLI) model finetuned to indicate if the response entails, contradicts, or is neutral with respect to the corresponding persona statements.
    - For a given response, a score of 1, -1 and 0 is assigned if the response entails, contradicts or is neutral with regard to each of the persona statements in the persona description.
    - The final C-score for each response is attained by summing the scores for all persona statements in the description.
- Implemented models:
  - **Std**: standard Transformer model with only the dialogue context as input
  - **Std<sub>p</sub>**: standard Transformer model with both the dialogue context and persona statements as inputs.
  - **PAML**: standard Transformer pretrained via PAML (Madotto et al., 2019)
  - **MTML<sub>α</sub>**: standard Transformer pretrained via MTML where  $\alpha = [0.9, 0.8, 0.7, 0.6, 0.5]$
  - **AMTML**: a standard Transformer pretrained via AMTML
- Experiments were conducted in both the 10-shot and 5-shot setting.

# Results & Discussion

Table 1: 10-shot automatic evaluation results

	PPL	BLEU	C-score
<i>Std</i>	35.87	0.93	0.00
<i>Std<sub>p</sub></i>	38.72	1.66	0.10
<i>PAML</i>	41.80	0.71	0.19
<i>MTML<sub>0.5</sub></i>	77.32	0.53	0.46
<i>MTML<sub>0.6</sub></i>	57.10	0.53	0.41
<i>MTML<sub>0.7</sub></i>	52.44	0.57	0.47
<i>MTML<sub>0.8</sub></i>	43.28	0.42	0.34
<i>MTML<sub>0.9</sub></i>	40.39	0.71	0.21
<i>AMTML</i>	48.66	0.48	0.29

Table 2: 10-shot automatic evaluation results

	Consistency	Fluency	Coherence
<i>Std</i>	0.10	0.87	0.20
<i>Std<sub>p</sub></i>	0.09	0.89	0.21
<i>PAML</i>	0.13	0.84	0.27
<i>MTML<sub>0.5</sub></i>	0.22	0.03	-0.12
<i>MTML<sub>0.6</sub></i>	0.24	0.65	0.15
<i>MTML<sub>0.7</sub></i>	0.29	0.65	0.13
<i>MTML<sub>0.8</sub></i>	0.22	0.78	0.29
<i>MTML<sub>0.9</sub></i>	0.15	0.77	0.19
<i>AMTML</i>	0.23	0.85	0.20

- For **MTML<sub>α</sub>**, optimal value of  $\alpha = 0.8$ 
  - Decreasing  $\alpha$  would generally increase the Consistency/C-score at the expense of fluency and coherence.
- **MTML<sub>0.8</sub>** and **AMTML** increases the amount of persona information reflected in the generated dialogue without compromising the coherence and fluency.
- In general, there is still room for improvement regarding contextual coherence.
- Results in the five-shot setting generally lower but follow the same trend. Full results are provided in the paper.

# Results and Discussion

Table 3: Human and automatic evaluation results of *P<sup>2</sup>Bot*

Automatic	PPL	BLEU	C-score
<i>P<sup>2</sup>Bot</i>	18.1	0.61	0.33
Human	Consistency	Fluency	Coherence
<i>P<sup>2</sup>Bot</i>	0.39	0.91	0.43

- 0 *P<sup>2</sup>Bot* involves finetuning the GPT pretrained language model on the training set via a transmitter receiver framework.
- 0 For *P<sup>2</sup>Bot*, persona statements are provided to the model along with the dialogue context during inference.
- 0 In a 10-shot setting, *MTML<sub>0.8</sub>* and *AMTML* achieved comparable C-score/Consistency despite *not* being presented with the persona statements during inference.
- 0 However, *P<sup>2</sup>Bot* still has the edge in terms of general fluency and coherence.

# Conclusion

- For the task of few-shot personalized dialogue generation, both MTML and AMTML effectively increases the amount of persona information reflected in the generated dialogue responses compared to prior work.
- The overall fluency and contextual coherence of the generated responses can still be improved.
- Future work could involve incorporating pretrained language models (T5, GPT-2, BERT...) in a meta-learning framework.
  - However, utilizing the standard MAML framework might be challenging due to the sheer size of pretrained language models.
  - First order meta-learning frameworks such as First Order MAML (FOMAML) or Reptile can be considered instead.

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Thank You